SEIA

# CONSISTENCY MATTERS

Brian D. Holmes was named in 2019 to Barron's Hall of Fame after being listed as a top independent financial advisor for **eleven** consecutive years from **2007-2018**.



BRIAN D. HOLMES MS, CFP<sup>®</sup>, AIF<sup>®</sup> President and CEO



CHAD BATES CFP®, AIF® Advisor

Brian is one of SEIA's four founding partners who have shared over two decades of teamwork together. SEIA and its affiliates currently manage **\$13.6 billion** in assets as of 12/31/2020. Brian was named to the Barron's Hall of Fame in 2019, as one of 145 advisors nationwide. Each member of the Hall of Fame has appeared in ten or more Barron's Top 100 Advisor Rankings. He is the only advisor from SEIA's Los Angeles and Orange County offices to make the elite Barron's Top 100 Independent Advisors from 2007-2018. Additionally, SEIA has received acknowledgment from:

### FINANCIAL TIMES

Top 300 Registered Investment Advisers List 2020 Top 400 Financial Advisers 2020 - Brian Holmes

#### **BARRON'S**

Top 100 RIA Firms 2020

**INVESTMENT NEWS** Best Places to Work for Financial Advisers 2021

## LA BUSINESS JOURNAL

Largest Money Management Firms 2020 (ranked by assets managed) Leaders of Influence: Wealth Managers 2020 - Brian Holmes



**CALVIN TSENG** CFP® *Associate Advisor* 

#### AT A GLANCE

**37 YEARS EXPERIENCE** Brian has been in the investment management business for over 35 years, maintaining a successful independent private practice with his team for over 300 clients.

**BOARD MEMBER** He is a past member of the Schwab Institutional Advisory Board and served on the UCLA Department of Economics Board of Visitors to enhance the curriculum of the Business and Economics major.

**DESIGNATIONS** He has been a CERTIFIED FINANCIAL PLANNER<sup>™</sup> (CFP<sup>®</sup>) since 1988 and also earned the Accredited Investment Fiduciary<sup>®</sup> (AIF<sup>®</sup>) professional designation from Fiduciary360 in 2011.

**DEGREES** He received his Bachelor of Arts degree in Psychology with an emphasis in Business Administration from the University of California, Los Angeles (UCLA) in 1983. Additionally, in 1994, he received a Master of Science degree in Financial Services from the College of Financial Planning.

**FAMILY** He is a 35 year resident of Malibu, California along with his wife, son and daughter.

**PHILANTHROPY** He is philanthropically involved with numerous charities in the Southern California area.

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Baron's Advisor Hall of Fame is a designation by Baron's. an American weekly magazine/newspaper published by Dow Jones & Company. A number of factors are considered for this ranking including but not limited to AUM, revenue, industry designations, industry tenure, and charitable and philanthropic activities. The "Barron's Winner's Circle Top 1000" is a select group of individuals who are screened on a number of different criteria. Among the factors that advisors are assessed include their assets under management, revenues, the quality of service provided to clients, and their adherence to high standards of industry regulatory compliance. Portfolio performance is not a factor. Please see https://www.barons.com/report/top-francial-advisors for more information. The Financial Times 300 Top Registered to elants, and their assets under management, asset growth, compliance record, years in existence, credentials and online accessibility. Duer 750 qualified firms applied for the award. 300 of which were selected (40%). This award does not evaluate the quality of services provided to clientis and is not indicative of the practice's future performance. The criteria for the Financial Times 400 Top Financial Advisors includes six broad factors which calculate a numeric score to reach advisors: assets under management, asset growth, compliance record, years in existence, credentials and online accessibility. The Barron's Top 100 RH Firms 2020 is given by Barron's Advisors and the based on asset; revenue, number of barros and advisors assets in a numer of advisors and to barrow approximate of a saver of cereach advisors: assets under management, asset growth, complexity certifications and online accessibility. The Barron's Top 100 RH Firms 2020 is given by Barron's Advisors and the advisor assets inder management asset advisors assets inder management. Revenue, number of advisors assets inder management asset is granted to obtain plaques, article reprints or similar indicia of the award. The 2020 Intestint Advisors includes