

HOW DO WE COLLABORATE?

SIGNATURE ALLOCATION SERIES

Signature Allocation Series (SAS) is a discretionary model platform engineered for various time-horizons and objectives to help meet a client’s personalized investment needs. Using an open-architecture platform, these models incorporate multiple asset classes, investment styles, and investment vehicles. The investment strategy employs both Strategic Macro Allocation and Tactical Micro Allocation capturing opportunities within the business cycles.

The portfolio is professionally monitored on a continuous basis. SEIA will automatically rebalance/reallocate investments in accordance with the Investment Policy Statement.

SAS PLATFORM	EXCHANGE TRADED PRODUCTS	MUTUAL FUNDS	INDIVIDUAL BONDS	INDIVIDUAL STOCKS
S1	✓			
S2	✓	✓		
S3	✓	✓	✓	
S4/S4+	✓	✓	✓	✓

SIGNATURE ELITE

Signature Elite is a non-discretionary custom investment management platform designed for the high net worth individual. We incorporate a holistic investment management process collaborating with your tax, legal, and other professionals to help meet your overall wealth management needs.

The combination of Strategic Macro and Tactical Micro Allocation strategies with a third Dynamic Allocation Strategy creates your personalized Core-Satellite Portfolio.

SPECIAL SITUATIONS

- Diversification around Concentrated Positions
- Tax-Loss Harvesting Opportunities
- Strategically Unwind Concentrated Positions
- Consideration of Legacy Assets

PORTFOLIO CUSTOMIZATION

- Individual Stocks and Bonds
- Illiquid and Liquid Alternative Investments
- Access to Institutional Class Investments
- Laddered and Barbell Bond Strategies

Asset allocation and portfolio diversification cannot assure or guarantee better performance and cannot eliminate the risk of investment losses. They are methods used to help manage investment risk. Rebalancing can entail transaction costs and tax consequences that should be considered when determining a rebalancing strategy.

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